ORAL/DENTAL HEALTH SERVICE STAFF INTERVIEW, OBSERVATION AND ASSESSMENT

AS/NZS 4187:2014 REPROCESSING OF REUSABLE MEDICAL DEVICES IN HEALTH SERVICE ORGANIZATIONS

Standard	NSQHS 3 Preventing and Controlling HAI
Auditor	
Audit Period	
LHD	
Cluster	
Facility	
Division	
Ward/Dept	
Service Type	
Audit Date	
Item Name	
Questionnaire Instruction	This staff interview, observation and assessment of dental staff provides you with additional information for your gap analysis for AS/NZS 4187:2014 Reprocessing of reusable medical devices in health service organizations. It is your education gap analysis. This enables you to verify that your quality management programs are embedded into routine practice and procedures. Questions will be answered with: CORRECT RESPONSE, INCORRECT RESPONSE, PARTIALLY CORRECT RESPONSE, NOT APPLICABLE. Comments Section: Use this section for documenting all responses. NOTE: THESE QUESTIONS ARE FOR YOUR UNIT ONLY. An experienced reprocessing technician/infection prevention and control CNC/CSD educator or Manager will need to complete the assessments.

The Interview, Observation and Assessment Questions are linked to Sections 6 and 8

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1. 6.2.3 - Cleaning Verify the cleaning procedures by discussing and observing the following.
Observe segregation of RMD to allocated cleaning pathways – e.g. manual cleaning, ultrasonic, washer/disinfector?
Prompt Questions for the staff member:
1. What pathway (or process) are you choosing?
2. Why did you choose this pathway for the RMD?
3. Are any RMDs prioritised?
4. How do you know which RMD requires disassembly (pulled apart)?
5. Where is the location of the Instructions For Use or procedures that tell you what pathway to choose?

- Correct Responses
- Incorrect Responses
- Partially Correct Reponses (detail information in Comments Section)
- N/A

Comments:

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2. Prompt Question:
Explain how you decided on this sequence for manual cleaning of RMDs?

- Correct Response
- Incorrect Response
- Partially Correct Reponses (detail information in Comments Section)
- N/A

Comments:

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3. Observation of manual cleaning of an RMD: (or ask questions if no RMD requires manual cleaning)
Prompt Questions for a specific RMD (to be decided by the Auditor and documented in Comments Section):
1. Has the RMD manufacturer provided validated manual cleaning instructions for the RMD being observed?
2. What chemical will you be using for the cleaning of the RMD?
3. How do you know how much chemical to use?
4. How do you know what accessories, e.g. brushes, to use for the manual cleaning?
5. What temperature water is required for manual cleaning of this RMD?
6. How do you know when the accessories need replacement?
7. How often do you reprocess the cleaning accessories?

- Correct Responses
- Incorrect Responses
- Partially Correct Reponses (detail information in Comments Section)
- N/A

Comments:

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4. Prompt Question:
Demonstrate how the visual inspection occurs following manual cleaning in the cleaning/ decontamination room? (See 8.2.2)
   - Correct Response
   - Incorrect Response
   - Partially Correct Reponses (detail information in Comments Section)
   - N/A

   Comments:

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5. Observation of Washer/Disinfector Prompt Questions for a specific RMD (to be decided by the Auditor and documented in the Comments Section)
Prompt Questions:
1. Has the RMD manufacturer provided validated washer/disinfector instructions for the RMD being observed?
2. Does this RMD require pre-treatment prior to loading in the washer/disinfector – e.g. lumens?
3. Has the daily monitoring tests for the washer/disinfector been performed and results documented today (Auditor to check documentation)?
4. Who monitors the chemical usage in the washer/disinfector in your Unit?
5. Explain the correct rack loading procedure for the washer/disinfector?
6. What is the daily cleaning of the washer/disinfector – e.g. cleaning filters, checking spray arms are rotating?
7. How do you know which cycle to choose for the washer/disinfector?
   - Correct Responses
   - Incorrect Responses
   - Partially Correct Reponses (detail information in Comments Section)
   - N/A

   Comments:

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6. 8.2.3 Washer/Disinfectors Employing Thermal Disinfection:
Prompt Questions:
1. How do you verify that the cycle was within the limits specified by the manufacturer?
2. Correct functioning of cleaning and drying equipment (i.e. water pressure, flow, action)?
3. Cleaning agent dosage?
4. Temperature including the time for which the disinfection temperature was maintained was not less than that specified?
5. Cleaning agent dosage?
6. Exposure times?
   - Correct Responses
   - Incorrect Responses
   - Partially Correct Reponses (detail information in Comments Section)
   - N/A

   Comments:

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7. Observation of Ultrasonic Prompt Questions for a specific RMD (to be decided by the Auditor and documented in the Comments Section)

Prompt Questions:

1. Has the RMD manufacturer provided validated ultrasonic instructions for the RMD being observed?
2. What pre-cleaning is required for this RMD before placement into the ultrasonic?
3. Has the daily monitoring tests for the ultrasonic been performed and results documented today? (Auditor to check documentation for degassing chemical dosing, efficiency (soil) testing on the machine, efficiency test on the machine with RMDs - this is site specific)
4. Who monitors the chemical usage in the ultrasonic in your Unit?
5. Explain the correct loading procedure for the ultrasonic?
6. What is the daily cleaning of the ultrasonic – e.g. cleaning filters?
7. How do you decide when to change the water in the ultrasonic?
8. When do you de-gas the ultrasonic?

- Correct Responses
- Incorrect Responses
- Partially Correct Reponses (detail information in Comments Section)
- N/A

Comments:

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8. Prompt Question for a staff member:

What do you do when a RMD you have not seen before, is received into the Unit?

- Correct Response
- Incorrect Response
- Partially Correct Response (detail information in Comments Section)
- N/A

Comments:

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9. Show me where the daily check records are for the cleaning/decontamination area are kept? (See 2.2.5). (Check records for previous 1 month period)

- Correct Response
- Incorrect Response
- Partially Correct Response (detail information in Comments Section)
- N/A
- Records not completed for last month are reported to the supervisor/manager

Comments:

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10. Prompt Question:

How are the process records checked at the completion of each washer/disinfector cycle? (see 8.2.3)

- Correct Response
- Incorrect Response
- Partially Correct Reponses (detail information in Comments Section)
- N/A

Comments:

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11. Prompt question:
Can you show me the daily check record for the Drying cabinet? (See 8.2.6)
(Check records for previous 1 month period)
Examples of responses
1. Temperatures
2. Filters
3. Door seals
   - Correct Responses
   - Incorrect Responses
   - Partially Correct Reponses (detail information in Comments Section)
   - N/A
   - Records not completed for last month are reported to the supervisor/manager

Comments:

SECTION 6 - PACKAGING 6.4.2 and 8.2.3

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12. Following release of the RMDs from the washer/disinfector (in the packaging area), how do you know that the cleaning process has been efficient? (See 8.2.5)
Example of Responses
1. Visual inspection and utilising magnification as appropriate
2. Wash check
3. Protein test
4. Soil test
5. Lumen test for cannulated RMDs
   - Correct Responses
   - Incorrect Responses
   - Partially Correct Reponses (detail information in Comments Section)
   - N/A

Comments:

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13. Prompt Questions for the packaging area:
1. How do you inspect an RMD – e.g. clean, good working order, not damaged, sharp, insulation intact?
2. How do you do insulation testing?
3. How and where do you document results?
4. How do inspect and document an RMD that has multiple parts?
5. How do you lay out RMDs into trays and sets – e.g. follow checklist, left to right?
6. How do you ensure that multiple part RMDs are disassembled for packaging?
7. How do you determine what RMDs require lubrication?
8. How do you ensure the integrity of the packaging prior to the point of use?
9. When would use tip protectors?
10. When would you use tray liners?
11. How do you know which packaging method to use?
12. What type of labels do you use

- Correct Responses
- Incorrect Responses
- Partially Correct Reponses (detail information in Comments Section)
- N/A

Comments:

SECTION 6 - STERILISING

14. Prompt Questions

1. How do know which steriliser process to use?
2. Can you demonstrate the loading of a steriliser trolley/tray and why you load it that way?
3. What are the routine monitoring and controls of the sterilisation process?
4. Explain how you unload a sterilisation trolley/tray to allow it to cool prior to handling?

- Correct Responses
- Incorrect Responses
- Partially Correct Reponses (detail information in Comments Section)
- N/A

Comments:

15. Prompt Question

Explain to me how you check the print out for steam sterilisation?

- Correct Response
- Incorrect Response
- Partially Correct Response (detail information in Comments Section)
- N/A

Comments:

About the Healthcare Associated Infections Program

The CEC's HAI program aims to assist local health districts and specialty health networks to improve systems to manage and monitor the prevention and control of HAIs.

For further information, please visit http://www.cec.health.nsw.gov.au

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